



COMDTINST 1650.2A  
OCT 24 2003

COMMANDANT INSTRUCTION 1650.2A

Subj: SUMNER I. KIMBALL READINESS AWARD

Ref: (a) U. S. Coast Guard Boat Operations and Training (BOAT) Manual - Volume I,  
COMDTINST M16114.32 (series)  
(b) Rescue and Survival Systems Manual, COMDTINST M10470.10 (series)

1. PURPOSE. To recognize achievement of high readiness by shore units with 41' UTBs, 47'/44' MLBs, and 49' BUSLs attached. This award recognizes excellence in crew proficiency, boat and personal protective equipment condition and compliance with established training documentation requirements as essential readiness components. This award will be expanded to include other standard boats once assessment teams for those boat types have been established and are operational.
2. ACTION. Area and district commanders, commanders of maintenance and logistics commands, commanding officers of headquarters units, assistant commandants for directorates, and special staff offices at Headquarters shall comply with this Instruction.
3. DIRECTIVES AFFECTED. SUMNER I. KIMBALL READINESS AWARD, COMDTINST 1650.2 is cancelled.
4. DISCUSSION. Reference (a) establishes the readiness rating for a unit's standard boats to be assessed and evaluation criteria for crew performance. The "Bravo" or "Bravo (Restricted)" readiness rating is the minimum level at which standard boats with fully qualified and proficient crews can perform Coast Guard missions safely and effectively. Similarly, meeting established underway exercise standards is the minimum performance level expected of qualified and certified boat crew members.
5. AWARD CRITERIA. The Sumner I. Kimball Award will be authorized when a unit meets or exceeds 90 percent of the total possible score ([enclosure 1](#)) during a regularly scheduled biennial Standardization Team (STANTEAM) readiness assessment. Though various aspects of a unit's

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performance are observed during an assessment, only the measurements outlined in [enclosure \(1\)](#) will be used in determining award eligibility.

6. RECOGNITION.

- a. Shore units meeting the provisions of this Instruction will be presented with the Sumner I. Kimball Pennant for temporary exterior display on the unit flagpole and a plaque for permanent interior display.
- b. The unit will be authorized to fly the pennant until completion of the next STANTEAM visit (same boat type). If the unit earns a subsequent award, the pennant will remain in place, and the subsequent award date shall be added to the Sumner I. Kimball plaque.
- c. Units earning the award for multiple boat types (i.e. UTB and MLB) will receive a second plaque indicating the boat type as well as the appropriate pennant.
- d. Commandant (G-OCS) will notify the unit, via the chain of command of the award authorization. Plaque and pennant will be presented at an appropriate ceremony.

7. PROCEDURES. Commandant (G-OCS) shall be responsible for coordinating changes and funding this program as appropriate.

8. ENVIRONMENTAL ASPECT and IMPACT CONSIDERATIONS. Environmental considerations were examined in the development of this Instruction and have been determined to be not applicable.

9. FORMS/REPORTS. None.

D. S. BELZ /s/  
Assistant Commandant for Operations

Encl: (1) [Eligibility and Scoring Criteria](#)

## SUMNER I. KIMBALL READINESS AWARD

### ELIGIBILITY AND SCORING CRITERIA

1. Award eligibility. The maximum score under this scoring system is 50 points. All units with UTBs, MLBs or BUSLs attached that achieve a point total of 45 or greater during a STANTEAM assessment for a particular platform will be recognized through this award process.
  - a. Non-pooled Station (sm) will be scored separate from the parent station, and are eligible for the Kimball Award. Pooled Station (sm) will be scored with the parent station.
  - b. Boats must be “Bravo” or “Bravo Restricted” upon arrival of the STANTEAM to be considered for this award. Units with multiple like boats (i.e., two 47’ MLBs) must have both platforms “Bravo” or “Bravo Restricted”. If one boat is “Charlie”, the unit is not eligible for the award during that visit cycle however; a complete assessment of the boat will be conducted. If one of the platforms will not be available for inspection (emergency haul-out, on loan to another unit, etc.), the unit (through their chain of command) should notify the STAN Team and G-OCS for possible reschedule of visit when all platforms will be available.

#### **Note: Catastrophic Failure**

- If a unit boat experiences a catastrophic failure which leads to “Charlie” status and the boat will not be repaired prior to the STAN Team visit, the unit (through their chain of command) should consult the STAN Team and G-OCS for possible reschedule of the visit.
  - If reschedule is not possible, units with multiple like boats must provide documentation (i.e. CASREP) and the boat will not be factored into the scoring criteria.
  - For single boat units, every effort will be made to reschedule the visit.
  - If a unit boat experiences a catastrophic failure *during* the “Upon Arrival” portion of the STAN Team visit, which results in “Charlie” status, the unit will not be eligible for the Kimball Award. However, the assessment will be completed and an overall rating assigned.
  - If a unit boat experiences a catastrophic failure *after* its “Upon Arrival” inspection (underway drill exercises, SAR case, etc.), the unit is still eligible for the award if it had completed the core underway exercises (Towing, Dewatering, Day/Night Navigation and Piloting, Man Overboard Recovery) prior to the failure.
  - G-OCS will make the final determination concerning the issuance of the award in any case that is considered an uncommon occurrence.
- c. Units with multiple like boats that receive a boat (e.g. rotatable pool, District spare, etc) within 30 days of the STAN Team visit **will** have the boat assessed however, the boat **will not** be used for score.

- d. Units with only one boat type and have received the boat (e.g. rotatable pool, District spare, etc) within 30 days of the STAN Team visit **will** have the boat assessed and it **will** be used for score.

- 2. Platform Materiel Condition. Points in this category will be assigned based on the number and severity of discrepancies reported upon arrival of the STANTEAM and as a result of the full power trial. Reference (a) and the applicable platform Operator's Handbook describe most equipment discrepancies and the level of severity of each discrepancy. The following scoring system will be applied:

- a. Points for Boat Materiel Condition Upon Arrival (total possible points per boat 15)

<b>Disabling</b>	<b>Restrictive</b>	<b>Major</b>
0 = 5 pts	0 = 5 pts	0 = 5 pts
	1-3 = 4 pts	1-5 = 4 pts
	4-6 = 3 pts	6-10 = 3 pts
	7-9 = 2 pts	11-15 = 2 pts
	10-12 = 1 pts	16-20 = 1 pts
	>13 = 0 pts	>21 = 0 pts

- b. Units shall continue to clear all disabling and restrictive discrepancies during the STANTEAM visit.
- c. Units with multiple like boat platforms (i.e., two 47' MLBs), shall average the arrival scores for all like boat platforms and divide by the number of boats to obtain unit points toward materiel condition score.

For example: Station X has two 47' MLBs. The material condition score for one MLB was 15 points while the other was 13 points. The two scores will be averaged for the final Platform Material Condition score (in this case 14 points). If the average contains a decimal, the number will be rounded up if 0.5 or greater (Boat One = 14 points, Boat Two = 11 points, Average = 12.5, Points toward award = 13).

**NOTE:** STAN Teams will inspect all standard boats of the same class that are berthed at units. Maintenance relief hulls will be included in the assessment for purposes of this award, unless they are deployed as a relief boat or undergoing major maintenance availability.

3. Knowledge based written tests. Tests are administered to all boat crew personnel (coxswain, engineer and crewmembers). However, only the test scores for certified crewmembers will be used to establish unit scores. For the purpose of this award, the average of all certified crew positions will be used in determining the point value (i.e., coxswain average 90.4% + engineer average 85.5% + crew average 80.6% / 3 = 85.5% = 4 points.). Overall unit average of .5 or greater will be rounded up to the next whole number.

Overall Unit Average = Point Scale

90 -100% = 5  
 80 - 89% = 4  
 70 - 79% = 2  
 60 - 69% = 1  
 < 60% = 0

4. Underway exercises. Points for underway exercises will be determined by the percentage of underway exercises with passing scores (met established drill standards). For example, 9 of 10 drills passed = 90% = 8 points, 13 of 16 drills passed = 81% = 6 points, etc. All drills (core and optional) will be included in the final score.

96 -100% = 10  
 91 - 95% = 9  
 86 - 90% = 8  
 81 - 85% = 6  
 76 - 80% = 3  
 71 - 75% = 2  
 65 - 70% = 1

5. Personal Protective Equipment. Points in this category will be assigned based on overall compliance with reference (b). The following scoring system will be applied:

Issuance: In Compliance = 1 pt  
 Documentation: In Compliance = 2 pt  
 PMS: In Compliance = 3 pts  
 Material Condition: In Compliance = 4 pts

6. Training Program Evaluation. Points in this category will be assigned based on overall compliance with reference (a). The following scoring system will be applied:

Program (administration): In Compliance = 2 pts  
 Training Records: In Compliance = 3 pts  
 Currency: In Compliance = 5 pts

7. Examples.

Station X: (one inspected boat)

Boat's Initial Status:	Bravo
Material Condition:	13 points
Written Test:	4 points
Underway Exercise:	9 points
Training:	10 points
PPE:	<u>9 points</u>
<b>Total unit score:</b>	45 points

This unit qualifies for the Sumner I. Kimball Award. Inspected boat was in "Bravo" status upon initial inspection and minimum point requirement was achieved.

Station Y: (one inspected boat)

Boat's Initial Status:	Bravo (Restricted)
Material Condition:	13 points
Written Test:	4 points
Underway Exercise:	9 points
Training:	8 points
PPE:	<u>9 points</u>
<b>Total unit score:</b>	43 points

This unit does not qualify for the Sumner I. Kimball Award. Unit's final score did not meet the 90% requirement (45 of 50 possible points).

Station Z: (two boats)

Boat One Initial Status:	Bravo
Boat Two Initial Status:	Charlie
Material Condition:	
Boat One:	15 points
Boat Two:	9 points
Total Material Condition:	12 points
Written Test:	4 points
Underway Exercise:	9 points
Training:	10 points
PPE:	<u>10 points</u>
<b>Total unit score:</b>	45 points

This unit does not qualify for the Sumner I. Kimball Award. Even though unit met point requirements, one of unit's boats was in "Charlie" status upon initial inspection.